SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

3235-OMB Number: 0104

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> DiPietro Joseph	Requiring S (Month/Day	2. Date of Event Requiring Statement (Month/Day/Year) 09/23/2021 3. Issuer Name <b>and</b> Ticker or Trading Symbol Ocean Power Technologies, Inc. [ OPTT ]					
<ul> <li>(Last) (First) (Middle)</li> <li>C/O OCEAN POWER</li> <li>TECHNOLOGIES, INC.</li> <li>28 ENGELHARD DRIVE, SUITE B</li> </ul>			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give Other (specify		)wner	<ul><li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li><li>6. Individual or Joint/Group Filing</li></ul>	
(Street) MONROE TOWNSHIP NJ 08831 (City) (State) (Zip)			Title below) Controller and	below)		(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or II	Direct C	1. Nature of Indirect Beneficial Dwnership (Instr. 5)	
			7	(I) (Inst			
			e Securities Benefici nts, options, conver	(I) (Insti ally Own	r. 5) ned		
		s, warran isable and ate	e Securities Benefici nts, options, conver	(i) (Instr ally Own tible sec Securities	r. 5) ned		6. Nature of Indirect Beneficial Ownership (Instr. 5)

**Explanation of Responses:** 

No securities are beneficially owned.

## /s/ JOSEPH DIPIETRO

\*\* Signature of Reporting Person

09/28/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.